



ANNUAL REPORT OF NONFINANCIAL DATA

FISCAL YEAR 2021

September 1, 2020 – August 31, 2021





State Office of Risk Management

300 W. 15TH, AUSTIN, TEXAS 78701 / P.O. BOX 13777, AUSTIN, TEXAS 78711-3777
(512) 475-1440, FAX (512) 370-9025 / WWW.SORM.TEXAS.GOV

BOARD MEMBERS: December 20, 2021

LLOYD M. GARLAND, M.D.
BOARD CHAIR

GERALD F. LADNER, SR.

The Honorable Greg Abbott, Governor

ROSEMARY A. GAMMON,
PAHM

Lisa Collier, State Auditor

Jerry McGinty, Director, Legislative Budget Board

HON. RICARDO GALINDO III

TOMAS GONZALEZ

Dear State Leaders:

EXECUTIVE DIRECTOR:

STEPHEN S. VOLLBRECHT,
JD, MA, AINS, AIS, ARM,
MCP, MEMS

We are pleased to submit the State Office of Risk Management's *Annual Report of Nonfinancial Data* for the year ended August 31, 2021, in compliance with the TEX. GOV'T CODE ANN. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,

Stephen S. Vollbrecht
JD, MA, AINS, AIS, ARM, MCP, MEMS
State Risk Manager, Executive Director

Table of Contents

Schedules

1	Schedule of Professional / Consulting Fees and Legal Service Fees	1
2	Schedule of Space Occupied	2
3	HUB Strategic Plan Progress Report	3

SCHEDULE 1

SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES

For the Fiscal Year Ended August 31, 2021

NAME	TYPE OF SERVICE RENDERED	AMOUNT
Professional / Consulting Fees		
Careworks Managed Care Services	Professional Services	\$ 1,113,717.71
Conduent Business Services LLC	Professional Services	(4.33)
McConnell & Jones LLP	Financial and Accounting Services	17,863.25
Office of the Attorney General	Professional Services	1,484.60
Rudd And Wisdom Inc	Professional Services	2,700.00
Professional Fees Under \$500		-
Total Professional / Consulting Fees and Legal Service Fees		\$ 1,135,761.23

SCHEDULE 2
SCHEDULE OF SPACE OCCUPIED

For the Fiscal Year Ended August 31, 2021

State-Owned Buildings:

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building 300 West 15th Street, 78701	Office	19,701
Total Space			<u><u>19,701</u></u>

Note: The State Office of Risk Management makes every attempt to comply with the intent of Government Code, Section 2165.104. All occupancy space requests are limited to statutory or mission essential requirements.

SCHEDULE 3
HUB STRATEGIC PLAN PROGRESS REPORT
For The Fiscal Year Ended August 31, 2021

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

HUB Report Procurement Categories	Fiscal 2020		Fiscal 2021		Fiscal 2022
	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal
Heavy construction other than building contracts	NA	NA	NA	NA	NA
Building construction, including general contractors and operative builders contracts	NA	NA	NA	NA	NA
Special trade construction contracts	NA	NA	NA	NA	NA
Professional services contracts	NA	69.92%	NA	64.48%	23.70%
Other services contracts	26.00%	30.12%	26.00%	0.30%	26.00%
Commodities contracts	21.10%	47.78%	21.10%	0.61%	21.10%

The State Office of Risk Management (SORM) does not make purchases in the Heavy Construction, Building Construction, or Special Trade Categories.



300 W. 15th ST., AUSTIN, TEXAS 78701
P.O. BOX 13777, AUSTIN, TEXAS 78711
(512) 475-1440, FAX (512) 370-9025
WWW.SORM.TEXAS.GOV