



ANNUAL REPORT OF NONFINANCIAL DATA

FISCAL YEAR 2020

September 1, 2019 – August 31, 2020





State Office of Risk Management

300 W. 15TH, AUSTIN, TEXAS 78701 / P.O. BOX 13777, AUSTIN, TEXAS 78711-3777
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December 14, 2020

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Dear State Leaders:

EXECUTIVE DIRECTOR:

STEPHEN S. VOLLBRECHT,
JD, MA, AINS, AIS, ARM,
MCP, MEMS

We are pleased to submit the State Office of Risk Management's *Annual Report of Nonfinancial Data* for the year ended August 31, 2020, in compliance with the TEX. GOV'T CODE ANN. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,

Stephen S. Vollbrecht
JD, MA, AINS, AIS, ARM, MCP, MEMS
State Risk Manager, Executive Director

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SCHEDULE 1

SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES

For the Fiscal Year Ended August 31, 2020

<u>NAME</u>	<u>TYPE OF SERVICE RENDERED</u>	<u>AMOUNT</u>
Professional / Consulting Fees		
Careworks Managed Care Services	Professional Services	\$ 477,999.90
Conduent Business Services	Professional Services	293,609.75
Injury Management Organization	Professional Services	343,650.00
McConnell & Jones LLP	Financial and Accounting Services	6,162.50
Office of The Attorney General	Professional Services	2,094.30
Rudd And Wisdom Inc	Professional Services	7,200.00
UT-Austin School of Social Work	Professional Services	1,489.00
Professional Fees Under \$500		<u>65.00</u>
Total Professional / Consulting Fees and Legal Service Fees		\$ <u><u>1,132,270.45</u></u>

SCHEDULE 2
SCHEDULE OF SPACE OCCUPIED

For the Fiscal Year Ended August 31, 2020

State-Owned Buildings:

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building 300 West 15th Street, 78701	Office	19,701
Total Space			<u><u>19,701</u></u>

Note: The Office makes every attempt to comply with the intent of Government Code, Section 2165.104. All occupancy space requests are limited to statutory or mission essential requirements.

Schedule 3
APPROPRIATION ITEM TRANSFER SCHEDULE *
For Fiscal Year ended August 31, 2020

Item of Appropriation

A. Goal: Manage Risk and Administer Claims			
Strategies:			
	Transfer In	Transfer Out	Net Transfer
A.1.1 13001 Enterprise Risk Management/Claims Administration			
S.B. 1, 85th Leg., R.S., Art IX, Sec 14.01 (a), Page IX-69 (Appropriation Transfer)	\$268.00	\$0.00	\$268.00 (a)
13001 Enterprise Risk Management/Claims Administration			
S.B. 1, 85th Leg., R.S., Art IX, Sec 14.01 (a), Page IX-69 (Appropriation Transfer)	\$0.00	(\$268.00)	(\$268.00) (a)
B.1.1 13004 Workers' Compensation Payments		\$ -	
Net Total Goal A: Manage Risk and Administer Claims	\$ 268.00	\$ (268.00)	\$ -

Notes:

*This schedule does not include the transfers for Benefit Replacement Pay, Longevity Increase, Salary Increase or Retirement Incentive Payments.

(a) To move 25% additional budget & cash from Appr 13001 to Appr 55001 Capital Budget to cover costs for PC Replacement. \$268.00 of unused funds were transferred back to Appr 13001 from Appr 55001 in FY20 and will be in the FY20 AFR.

SCHEDULE 4
HUB STRATEGIC PLAN PROGRESS REPORT
For The Fiscal Year Ended August 31, 2020

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

HUB Report Procurement Categories	Fiscal 2019		Fiscal 2020		Fiscal 2021
	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal
Heavy construction other than building contracts	NA	NA	NA	NA	NA
Building construction, including general contractors and operative builders contracts	NA	NA	NA	NA	NA
Special trade construction contracts	NA	NA	NA	NA	NA
Professional services contracts	23.70%	100.00%	23.70%	69.92%	23.70%
Other services contracts	26.00%	55.73%	26.00%	30.12%	26.00%
Commodities contracts	21.10%	42.24%	21.10%	47.78%	21.10%

The State Office of Risk Management (SORM) does not make purchases in the Heavy Construction, Building Construction, or Special Trade Categories.



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