

Operating Budget
for Fiscal Year 2020, Amended

Submitted to the
Office of the Governor, Budget Division
and the
Legislative Budget Board

by

The State Office of Risk Management



February 4, 2020



CERTIFICATE

Agency Name State Office of Risk Management

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Office of the Governor will be notified in writing in accordance with House Bill 1, Article IX, Section 7.01, Eighty-sixth Legislature, Regular Session, 2019.

Chief Executive Office or Presiding Judge


Signature

Stephen S. Vollbrecht

Printed Name

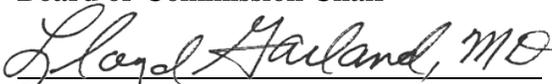
State Risk Manager, Executive Director

Title

February 3, 2020

Date

Board or Commission Chair


Signature

Lloyd M. Garland M.D.

Printed Name

Board Chair

Title

February 3, 2020

Date

Chief Financial Officer


Signature

Lori Shaw

Printed Name

Director of Accounting & Finance, CFO

Title

February 3, 2020

Date

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Agency Name: (479) STATE OFFICE OF RISK MANAGEMENT

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Budget Overview
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

479 State Office of Risk Management

| | GENERAL REVENUE FUNDS | | | | OTHER FUNDS | | | | ALL FUNDS | |
|---|-----------------------|------|------|------|-------------|------|-------------------|-------------------|-------------------|-------------------|
| | 2019 | 2020 | 2019 | 2020 | 2019 | 2020 | 2019 | 2020 | 2019 | 2020 |
| Goal: 1. Manage Claim Costs and Protect State Assets | | | | | | | | | | |
| 1.1.1. Enterprise Risk Mgmt/Claims Admin | | | | | | | 10,151,106 | 11,729,224 | 10,151,106 | 11,729,224 |
| Total, Goal | | | | | | | 10,151,106 | 11,729,224 | 10,151,106 | 11,729,224 |
| Goal: 2. Workers' Compensation Payments: Estimated and Nontransferable | | | | | | | | | | |
| 2.1.1. Workers' Compensation Payments | | | | | | | 34,983,245 | 40,567,750 | 34,983,245 | 40,567,750 |
| Total, Goal | | | | | | | 34,983,245 | 40,567,750 | 34,983,245 | 40,567,750 |
| Total, Agency | | | | | | | 45,134,351 | 52,296,974 | 45,134,351 | 52,296,974 |
| Total FTEs | | | | | | | | | 115.0 | 121.6 |

2.A. Summary of Budget By Strategy

DATE : 11/26/2019

TIME : 5:47:32PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 479 Agency name: State Office of Risk Management

| Goal/Objective/STRATEGY | EXP 2018 | EXP 2019 | BUD 2020 |
|---|---------------------|---------------------|---------------------|
| 1 Manage Claim Costs and Protect State Assets | | | |
| 1 Risk Management and Claims Administration | | | |
| 1 ENTERPRISE RISK MGMT/CLAIMS ADMIN | \$9,303,287 | \$10,151,106 | \$11,729,224 |
| TOTAL, GOAL 1 | \$9,303,287 | \$10,151,106 | \$11,729,224 |
| 2 Workers' Compensation Payments: Estimated and Nontransferable | | | |
| 1 Workers' Compensation Payments: Estimated and Nontransferable | | | |
| 1 WORKERS' COMPENSATION PAYMENTS | \$39,330,295 | \$34,983,245 | \$40,567,750 |
| TOTAL, GOAL 2 | \$39,330,295 | \$34,983,245 | \$40,567,750 |

2.A. Summary of Budget By Strategy

DATE : 11/26/2019

TIME : 5:47:32PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 479 Agency name: State Office of Risk Management

| <i>Goal/Objective/STRATEGY</i> | EXP 2018 | EXP 2019 | BUD 2020 |
|---------------------------------------|---------------------|---------------------|---------------------|
| General Revenue Funds: | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 |
| | \$0 | \$0 | \$0 |
| Other Funds: | | | |
| 666 Appropriated Receipts | \$990 | \$1,900 | \$281 |
| 777 Interagency Contracts | \$47,937,253 | \$44,609,393 | \$51,728,943 |
| 8052 Subrogation Receipts | \$695,339 | \$523,058 | \$567,750 |
| | \$48,633,582 | \$45,134,351 | \$52,296,974 |
| TOTAL, METHOD OF FINANCING | \$48,633,582 | \$45,134,351 | \$52,296,974 |
| FULL TIME EQUIVALENT POSITIONS | 109.5 | 115.0 | 121.6 |

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **2/4/2020**
 TIME: **8:07:43AM**

Agency code: **479** Agency name: **State Office of Risk Management**

| METHOD OF FINANCING | Exp 2018 | Exp 2019 | Bud 2020 |
|--|--------------|----------------|--------------|
| <u>OTHER FUNDS</u> | | | |
| <u>666</u> Appropriated Receipts | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | |
| S.B. 1, 85th Leg., R.S. Art IX, Sec 8.02 Page IX-43 (2018-19 GAA) | \$990 | \$1,900 | \$0 |
| H.B. 1, 86th Leg., R.S. Art IX, Sec 8.02 Page IX-41 (2020-21 GAA) | \$0 | \$0 | \$281 |
| TOTAL, Appropriated Receipts | \$990 | \$1,900 | \$281 |
| <u>777</u> Interagency Contracts | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | |
| S.B. 1, 85th Leg., R.S. Art I, Page I-82 (2018-19 GAA) | \$11,004,899 | \$11,004,900 | \$0 |
| Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | | | |
| S.B. 1, 85th Leg., R.S. Art I, Page I-82 (2018-19 GAA) | \$39,225,415 | \$39,225,415 | \$0 |
| Comments: Workers' Compensation Payments (Goal B) | | | |
| H.B.1,86th Leg., R.S. Art I,Pg I-85(2020-21 GAA) | \$0 | \$0 | \$11,728,943 |
| Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | | | |
| H.B. 1,86th Leg., R.S. Art I, Pg I-85(2020-21 GAA) | \$0 | \$0 | \$42,250,000 |
| Comments: Workers' Compensation Payments (Goal B) | | | |
| <i>RIDER APPROPRIATION</i> | | | |
| S.B. 1, 85th Leg., R.S., Art IX, Sec 15.02, Para C Pg IX-72(2018-19 GAA) | \$3,774,585 | \$0 | \$0 |
| Comments: Workers' Compensation Payments (Goal B) | | | |
| <i>LAPSED APPROPRIATIONS</i> | | | |

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/4/2020
 TIME: 8:07:43AM

Agency code: 479 Agency name: State Office of Risk Management

| METHOD OF FINANCING | Exp 2018 | Exp 2019 | Bud 2020 |
|---|---------------------|---------------------|---------------------|
| Lapsed Appropriation-Authority Only for UB to Following FY. S.B. 1, 85th Leg., R.S., Art I, Rider 5, Pg I-83(2018-19 GAA) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | \$ (1,653,602) | \$ 0 | \$ 0 |
| Lapsed Appropriation-Auth Only for UB to Following FY. S.B.1,85th Leg.,R.S., Art IX, Sec 15.02(h), Pg IX-73(2018-19 GAA) Comments: Workers' Compensation Payments (Goal B) | \$ (4,237,884) | \$ (839,813) | \$ 0 |
| Unexercized Authority for Annual Assessment S.B. 1, 85th Leg., R.S., Art IX, Sec 15.02, Para C, Pg IX-72 (2018-19 GAA) Comments: Workers' Compensation Payments (Goal B) | \$ 0 | \$ (3,925,415) | \$ 0 |
| Unexercized Authority for Annual Assessment H.B. 1, 86th Leg., R.S., Art IX, Sec 15.02, Para C, Pg IX-71 (2020-21 GAA) Comments: Workers' Compensation Payments (Goal B) | \$ 0 | \$ 0 | \$ (2,250,000) |
| Lapsed Appropriation-Authority Only for UB to Following FY H.B. 1, 86th Leg., R.S. Art I, Rider 3, Pg I-86(2020-21 GAA) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | \$ 0 | \$ (904,694) | \$ 0 |
| Lapsed IAC Authority for Additional Subrogation Collections S.B. 1, 85th Leg., R.S., Art I, Rider 5, Page I-83 (2018-19 GAA) Comments: Workers' Compensation Payments (Goal B) | \$ (127,160) | \$ 0 | \$ 0 |
| <i>UNEXPENDED BALANCES AUTHORITY</i> | | | |
| UB of Capital Budget S.B. 1, 85th Leg., R.S., Art IX, Sec 14.03(i), Pg IX-69 (2018-19 GAA) Comments: Manage Risk & Administer Claims (Goal A) | \$ (49,000) | \$ 49,000 | \$ 0 |
| TOTAL, Interagency Contracts | \$47,937,253 | \$44,609,393 | \$51,728,943 |

8052 Subrogation Receipts Account No. 8052

REGULAR APPROPRIATIONS

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/4/2020
 TIME: 8:07:43AM

Agency code: 479 Agency name: State Office of Risk Management

| METHOD OF FINANCING | Exp 2018 | Exp 2019 | Bud 2020 |
|--|---------------------|---------------------|---------------------|
| S.B. 1, 85th Leg., R.S., Art I, Page I-82 (2018-19 GAA) Comments: Workers' Compensation Claim appropriation | \$567,750 | \$567,750 | \$0 |
| H.B. 1, 86th Leg., R.S., Art I, Page I-85 (2020-21 GAA) Comments: Workers' Compensation Claim appropriation | \$0 | \$0 | \$567,750 |
| <i>RIDER APPROPRIATION</i> | | | |
| S.B. 1, 85th Leg., R.S. Art IX, Sec 15.02 (g) Pg IX-73 (2018-19 GAA) Comments: Workers' Compensation Payments (Goal B) | \$127,589 | \$0 | \$0 |
| <i>LAPSED APPROPRIATIONS</i> | | | |
| Uncollected Subrogations Comments: Workers' Compensation Payments (Goal B) | \$0 | \$(44,692) | \$0 |
| TOTAL, Subrogation Receipts Account No. 8052 | \$695,339 | \$523,058 | \$567,750 |
| TOTAL, ALL OTHER FUNDS | \$48,633,582 | \$45,134,351 | \$52,296,974 |
| GRAND TOTAL | \$48,633,582 | \$45,134,351 | \$52,296,974 |

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 2/4/2020
 TIME: 8:07:43AM

Agency code: 479 Agency name: State Office of Risk Management

| METHOD OF FINANCING | Exp 2018 | Exp 2019 | Bud 2020 |
|--|--------------|--------------|--------------|
| FULL-TIME-EQUIVALENT POSITIONS | | | |
| REGULAR APPROPRIATIONS | | | |
| S.B. 1, 85th Leg., R.S., Art I, Page I-82 (2018-19 GAA) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | 121.6 | 121.6 | 0.0 |
| H.B. 1, 86th Leg., R.S. Art I, Page I-85 (2020-21 GAA) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | 0.0 | 0.0 | 123.6 |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP | | | |
| S.B. 1, 85th Leg., R.S., Art I, Page I-82 (2018-19 GAA-Lapsed due to turnover) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | (12.1) | (6.6) | 0.0 |
| H.B. 1, 86th Leg., R.S. Art I, Page I-85 (2020-21 GAA) Comments: Enterprise Risk Mgmt/Claims Admin (Goal A) | 0.0 | 0.0 | (2.0) |
| TOTAL, ADJUSTED FTES | 109.5 | 115.0 | 121.6 |

NUMBER OF 100% FEDERALLY FUNDED FTES

2.C. Summary of Budget By Object of Expense
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/26/2019**
 TIME: **5:48:36PM**

Agency code: **479**

Agency name: **State Office of Risk Management**

| OBJECT OF EXPENSE | EXP 2018 | EXP 2019 | BUD 2020 |
|-------------------------------------|---------------------|---------------------|---------------------|
| 1001 SALARIES AND WAGES | \$6,292,829 | \$6,866,958 | \$7,400,000 |
| 1002 OTHER PERSONNEL COSTS | \$199,666 | \$238,663 | \$250,000 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$1,177,908 | \$1,141,842 | \$1,500,000 |
| 2003 CONSUMABLE SUPPLIES | \$33,077 | \$29,176 | \$32,000 |
| 2004 UTILITIES | \$5,421 | \$4,769 | \$5,500 |
| 2005 TRAVEL | \$128,528 | \$146,203 | \$150,000 |
| 2006 RENT - BUILDING | \$720 | \$720 | \$720 |
| 2007 RENT - MACHINE AND OTHER | \$22,020 | \$22,470 | \$22,500 |
| 2009 OTHER OPERATING EXPENSE | \$40,773,413 | \$36,561,318 | \$42,686,254 |
| 5000 CAPITAL EXPENDITURES | \$0 | \$122,232 | \$250,000 |
| Agency Total | \$48,633,582 | \$45,134,351 | \$52,296,974 |

2.D. Summary of Budget By Objective Outcomes
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/26/2019
 Time: 5:49:10PM

Agency code: 479 Agency name: State Office of Risk Management

| Goal/ Objective / OUTCOME | Exp 2018 | Exp 2019 | Bud2020 |
|--|----------|----------|---------|
| 1 Manage Claim Costs and Protect State Assets | | | |
| 1 <i>Risk Management and Claims Administration</i> | | | |
| KEY 1 Incident Rate of Injuries & Illnesses/100 Covered FT State Employees | 3.24 % | 3.22 % | 3.55 % |
| KEY 2 Cost of Workers' Compensation Per Covered State Employee | 252.42 | 225.97 | 252.00 |
| KEY 3 Cost of Workers' Compensation Coverage Per \$100 State Payroll | 0.57 | 0.50 | 0.60 |

3.A. Strategy Level Detail

DATE: 11/26/2019

TIME: 5:49:48PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Claim Costs and Protect State Assets

OBJECTIVE: 1 Risk Management and Claims Administration

STRATEGY: 1 Assist/Review Risk Mgmt Programs & Provide Workers' Comp Admin

Service Categories:

Service: 05 Income: A.2 Age: B.3

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|------------------------------------|---|--------------------|---------------------|---------------------|
| Output Measures: | | | | |
| KEY 1 | Number of Written Risk Management Program Reviews Conducted | 29.00 | 29.00 | 29.00 |
| KEY 2 | Number of On-site Consultations Conducted | 245.00 | 257.00 | 229.00 |
| | 3 Number of Risk Management Training Sessions Conducted | 159.00 | 182.00 | 180.00 |
| | 4 Number of Initial Eligibility Determinations Made | 7,554.00 | 7,510.00 | 7,510.00 |
| KEY 5 | Number of Medical Bills Processed | 90,059.00 | 89,208.00 | 92,000.00 |
| KEY 6 | Number of Indemnity Bills Paid | 26,993.00 | 26,178.00 | 27,200.00 |
| Efficiency Measures: | | | | |
| | 1 Cost Per Hour of Direct Risk Management Service Provided | 80.60 | 75.22 | 85.00 |
| KEY 2 | Average Cost to Administer Claim | 638.72 | 696.44 | 668.00 |
| Explanatory/Input Measures: | | | | |
| KEY 1 | Percentage of Total Assessments Collected Used for Claim Payments | 92.43 % | 99.45 % | 99.00 % |
| Objects of Expense: | | | | |
| 1001 | SALARIES AND WAGES | \$6,292,829 | \$6,866,958 | \$7,400,000 |
| 1002 | OTHER PERSONNEL COSTS | \$199,666 | \$238,663 | \$250,000 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$1,177,908 | \$1,141,842 | \$1,500,000 |
| 2003 | CONSUMABLE SUPPLIES | \$33,077 | \$29,176 | \$32,000 |
| 2004 | UTILITIES | \$5,421 | \$4,769 | \$5,500 |
| 2005 | TRAVEL | \$128,528 | \$146,203 | \$150,000 |
| 2006 | RENT - BUILDING | \$720 | \$720 | \$720 |
| 2007 | RENT - MACHINE AND OTHER | \$22,020 | \$22,470 | \$22,500 |
| 2009 | OTHER OPERATING EXPENSE | \$1,443,118 | \$1,578,073 | \$2,118,504 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$122,232 | \$250,000 |
| TOTAL, OBJECT OF EXPENSE | | \$9,303,287 | \$10,151,106 | \$11,729,224 |

3.A. Strategy Level Detail

DATE: 11/26/2019

TIME: 5:49:48PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Claim Costs and Protect State Assets

OBJECTIVE: 1 Risk Management and Claims Administration

STRATEGY: 1 Assist/Review Risk Mgmt Programs & Provide Workers' Comp Admin

Service Categories:

Service: 05 Income: A.2 Age: B.3

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|--|-----------------------|--------------------|---------------------|---------------------|
| Method of Financing: | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 |
| Method of Financing: | | | | |
| 666 | Appropriated Receipts | \$990 | \$1,900 | \$281 |
| 777 | Interagency Contracts | \$9,302,297 | \$10,149,206 | \$11,728,943 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$9,303,287 | \$10,151,106 | \$11,729,224 |
| TOTAL, METHOD OF FINANCE : | | \$9,303,287 | \$10,151,106 | \$11,729,224 |
| FULL TIME EQUIVALENT POSITIONS: | | 109.5 | 115.0 | 121.6 |

3.A. Strategy Level Detail

DATE: 11/26/2019

TIME: 5:49:48PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 479 Agency name: State Office of Risk Management

GOAL: 2 Workers' Compensation Payments: Estimated and Nontransferable

OBJECTIVE: 1 Workers' Compensation Payments: Estimated and Nontransferable

STRATEGY: 1 Workers' Compensation Payments: Estimated and Nontransferable

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|--|-------------------------|---------------------|---------------------|---------------------|
| Objects of Expense: | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$39,330,295 | \$34,983,245 | \$40,567,750 |
| TOTAL, OBJECT OF EXPENSE | | \$39,330,295 | \$34,983,245 | \$40,567,750 |
| Method of Financing: | | | | |
| 666 | Appropriated Receipts | \$0 | \$0 | \$0 |
| 777 | Interagency Contracts | \$38,634,956 | \$34,460,187 | \$40,000,000 |
| 8052 | Subrogation Receipts | \$695,339 | \$523,058 | \$567,750 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$39,330,295 | \$34,983,245 | \$40,567,750 |
| TOTAL, METHOD OF FINANCE : | | \$39,330,295 | \$34,983,245 | \$40,567,750 |
| FULL TIME EQUIVALENT POSITIONS: | | | | |

3.A. Strategy Level Detail

DATE: 11/26/2019

TIME: 5:49:48PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

| | | | |
|--|---------------------|---------------------|---------------------|
| OBJECTS OF EXPENSE: | \$48,633,582 | \$45,134,351 | \$52,296,974 |
| METHODS OF FINANCE : | \$48,633,582 | \$45,134,351 | \$52,296,974 |
| FULL TIME EQUIVALENT POSITIONS: | 109.5 | 115.0 | 121.6 |

Agency code: 479

Agency name: State Office of Risk Management

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

5005 Acquisition of Information Resource Technologies

1/1 PC Replacement

OBJECTS OF EXPENSE

Capital

| | | | | |
|-------------------------------|---|------------|------------------|------------|
| 2009 OTHER OPERATING EXPENSE | | \$0 | \$122,232 | \$0 |
| Capital Subtotal OOE, Project | 1 | \$0 | \$122,232 | \$0 |
| Subtotal OOE, Project | 1 | \$0 | \$122,232 | \$0 |

TYPE OF FINANCING

Capital

| | | | | |
|-------------------------------|---|------------|------------------|------------|
| CA 777 Interagency Contracts | | \$0 | \$122,232 | \$0 |
| Capital Subtotal TOF, Project | 1 | \$0 | \$122,232 | \$0 |
| Subtotal TOF, Project | 1 | \$0 | \$122,232 | \$0 |

2/2 Risk Management Information System

OBJECTS OF EXPENSE

Capital

| | | | | |
|-------------------------------|---|------------|------------|--------------------|
| 1001 SALARIES AND WAGES | | \$0 | \$0 | \$136,094 |
| 1002 OTHER PERSONNEL COSTS | | \$0 | \$0 | \$2,500 |
| 2009 OTHER OPERATING EXPENSE | | \$0 | \$0 | \$635,000 |
| 5000 CAPITAL EXPENDITURES | | \$0 | \$0 | \$250,000 |
| Capital Subtotal OOE, Project | 2 | \$0 | \$0 | \$1,023,594 |
| Subtotal OOE, Project | 2 | \$0 | \$0 | \$1,023,594 |

TYPE OF FINANCING

Capital

| | | | | |
|-------------------------------|---|------------|------------|--------------------|
| CA 777 Interagency Contracts | | \$0 | \$0 | \$1,023,594 |
| Capital Subtotal TOF, Project | 2 | \$0 | \$0 | \$1,023,594 |
| Subtotal TOF, Project | 2 | \$0 | \$0 | \$1,023,594 |

4.A. Capital Budget Project Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/26/2019
 TIME : 5:50:11PM

Agency code: 479

Agency name: State Office of Risk Management

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | EXP 2018 | EXP 2019 | BUD 2020 |
|---------------------------------------|------------|------------------|--------------------|
| Capital Subtotal, Category 5005 | \$0 | \$122,232 | \$1,023,594 |
| Informational Subtotal, Category 5005 | | | |
| Total, Category 5005 | \$0 | \$122,232 | \$1,023,594 |
| AGENCY TOTAL -CAPITAL | \$0 | \$122,232 | \$1,023,594 |
| AGENCY TOTAL -INFORMATIONAL | | | |
| AGENCY TOTAL | \$0 | \$122,232 | \$1,023,594 |
| METHOD OF FINANCING: | | | |
| <u>Capital</u> | | | |
| 777 Interagency Contracts | \$0 | \$122,232 | \$1,023,594 |
| Total, Method of Financing-Capital | \$0 | \$122,232 | \$1,023,594 |
| Total, Method of Financing | \$0 | \$122,232 | \$1,023,594 |
| TYPE OF FINANCING: | | | |
| <u>Capital</u> | | | |
| CA CURRENT APPROPRIATIONS | \$0 | \$122,232 | \$1,023,594 |
| Total, Type of Financing-Capital | \$0 | \$122,232 | \$1,023,594 |
| Total, Type of Financing | \$0 | \$122,232 | \$1,023,594 |

Capital Budget Allocation to Strategies
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/26/2019**
 TIME: **5:50:37PM**

Agency code: **479** Agency name: **State Office of Risk Management**

Category Code/Name

Project Sequence/Project Id/Name

| | Goal/Obj/Str | Strategy Name | EXP 2018 | EXP 2019 | BUD 2020 |
|--|---------------------|---|-----------------|------------------|--------------------|
| 5005 Acquisition of Information Resource Technologies | | | | | |
| | <i>1/1</i> | <i>PC Replacement</i> | | | |
| Capital | 1-1-1 | ENTERPRISE RISK MGMT/CLAIMS ADMIN | 0 | 122,232 | \$0 |
| | | TOTAL, PROJECT | \$0 | \$122,232 | \$0 |
| | <i>2/2</i> | <i>Risk Management Information System</i> | | | |
| Capital | 1-1-1 | ENTERPRISE RISK MGMT/CLAIMS ADMIN | 0 | 0 | 1,023,594 |
| | | TOTAL, PROJECT | \$0 | \$0 | \$1,023,594 |
| | | TOTAL CAPITAL, ALL PROJECTS | \$0 | \$122,232 | \$1,023,594 |
| | | TOTAL INFORMATIONAL, ALL PROJECTS | | | |
| | | TOTAL, ALL PROJECTS | \$0 | \$122,232 | \$1,023,594 |

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/26/2019
 TIME: 5:51:12PM

Agency Code: 479

Agency name: State Office of Risk Management

| FUND/ACCOUNT | Exp 2018 | Exp 2019 | Bud 2020 |
|--|------------------------------|------------------------------|------------------------------|
| <u>777</u> Interagency Contracts | | | |
| Beginning Balance (Unencumbered): | \$0 | \$0 | \$0 |
| Estimated Revenue: | | | |
| 3719 Fees/Copies or Filing of Records | 990 | 1,900 | 281 |
| 3805 Subrogation Recoveries | 695,339 | 523,058 | 567,750 |
| 3947 State Office of Risk Mgmt Assessmts | 56,281,325 | 48,544,835 | 54,006,984 |
| Subtotal: Estimated Revenue | <u>56,977,654</u> | <u>49,069,793</u> | <u>54,575,015</u> |
| Total Available | <u>\$56,977,654</u> | <u>\$49,069,793</u> | <u>\$54,575,015</u> |
| DEDUCTIONS: | | | |
| Transfer - Employee Benefits | (1,966,445) | (2,109,370) | (2,278,041) |
| Expended/Budgeted | (49,079,304) | (45,215,916) | (52,296,974) |
| UB to Next AY (COBJ 3947) | (5,931,905) | (1,744,507) | 0 |
| Total, Deductions | <u>\$(56,977,654)</u> | <u>\$(49,069,793)</u> | <u>\$(54,575,015)</u> |
| Ending Fund/Account Balance | <u>\$0</u> | <u>\$0</u> | <u>\$0</u> |

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Lori Shaw, CFO

4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/26/2019
 TIME: 5:51:38PM

86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **479** Agency name: **State Office of Risk Management**

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|----------------------------------|-----------------------------|------------------|------------------|-----------------|
| OBJECTS OF EXPENSE | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$496,811 | \$112,185 | \$22,471 |
| TOTAL, OBJECTS OF EXPENSE | | \$496,811 | \$112,185 | \$22,471 |
| METHOD OF FINANCING | | | | |
| 777 | Interagency Contracts | \$496,811 | \$112,185 | \$22,471 |
| | Subtotal, MOF (Other Funds) | \$496,811 | \$112,185 | \$22,471 |
| TOTAL, METHOD OF FINANCE | | \$496,811 | \$112,185 | \$22,471 |

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

Expenditures on natural or man-made disasters in Strategy B.1.1., relate to Hurricane Harvey in August 2017.
 SORM does not receive any Federal Funds.

4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/26/2019

Funds Passed through to Local Entities

TIME: 5:51:38PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **479** Agency name: **State Office of Risk Management**

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|-------------|--------------------|-----------------|-----------------|-----------------|
|-------------|--------------------|-----------------|-----------------|-----------------|

4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/26/2019

Funds Passed through to State Agencies

TIME: 5:51:38PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **479** Agency name: **State Office of Risk Management**

| CODE | DESCRIPTION | EXP 2018 | EXP 2019 | BUD 2020 |
|-------------|--------------------|-----------------|-----------------|-----------------|
|-------------|--------------------|-----------------|-----------------|-----------------|
